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Seasonal bloom dynamics and ecophysiology of the freshwater sister clade of SAR11 bacteria 'that rule the waves' (LD12)

Salcher, M M ; Pernthaler, J ; Posch, T

Abstract: Alphaproteobacteria are common members of marine bacterioplankton assemblages, but are believed to be rare in lacustrine systems. However, uncultured Alphaproteobacteria of the freshwater LD12 lineage form a tight monophyletic sister group with the numerically dominant bacteria in marine epipelagic waters, the SAR11 clade or genus *Pelagibacter*. Comparative rRNA sequence analysis reveals a global occurrence of LD12 bacteria in freshwater systems. The association of genotypic subclades with single-study systems moreover suggests a regional diversification. LD12 bacteria exhibit distinct and annually recurring spatio-temporal distribution patterns in prealpine lakes, as assessed by seasonally resolved vertical profiling and high-throughput cell counting. During the summer months, these ultramicrobacteria can form cell densities in the surface (epilimnetic) water layers that are comparable to those of their marine counterparts ($>5 \times 10^8$ cells per l). LD12 bacteria had a pronounced preference for glutamine and glutamate over 7 other amino acids in situ, and they exhibited substantially higher uptake of these two substrates (and glycine) than the microbial assemblage in general. In addition, members of LD12 were also able to exploit other monomeric sources of organic carbon such as glucose, fructose or acetate. LD12 seemed to follow an oligotrophic lifestyle with slow but efficient uptake already at low substrate concentrations. Thus, LD12 bacteria do not only share phenotypic and metabolic traits with *Pelagibacter*, but also seem to thrive in the analogous spatiotemporal niche in freshwaters. The two groups together form one of the rare monophyletic lineages of ultramicrobacteria that have successfully traversed the barrier between marine and freshwater habitats. *The ISME Journal* (2011) 5, 1242-1252; doi:10.1038/ismej.2011.8; published online 17 March 2011

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**Seasonal bloom dynamics and ecophysiology of the freshwater sister clade of
SAR11 bacteria 'that rule the waves' (LD12)**

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1 **Abstract**

2 *Alphaproteobacteria* are common members of marine bacterioplankton assemblages,
3 but are believed to be rare in lacustrine systems. However, uncultured
4 *Alphaproteobacteria* of the freshwater LD12 lineage form a tight monophyletic sister
5 group with the numerically dominant bacteria in marine epipelagic waters, the SAR11
6 clade or genus *Pelagibacter*. Comparative rRNA sequence analysis reveals a global
7 occurrence of LD12 bacteria in freshwater systems. The association of genotypic
8 subclades with single study systems moreover suggests a regional diversification.
9 LD12 bacteria exhibit distinct and annually recurring spatio-temporal distribution
10 patterns in prealpine lakes, as assessed by seasonally resolved vertical profiling and
11 high throughput cell counting. During the summer months these ultramicrobacteria
12 can form cell densities in the surface (epilimnetic) water layers that are comparable to
13 those of their marine counterparts ($>5 \times 10^8$ cells l^{-1}). LD12 bacteria had a pronounced
14 preference for glutamine and glutamate over 7 other amino acids *in situ*, and they
15 exhibited substantially higher uptake of these two substrates (and glycine) than the
16 microbial assemblage in general. In addition, members of LD12 were also able to
17 exploit other monomeric sources of organic carbon such as glucose, fructose, or
18 acetate. LD12 seemed to follow an oligotrophic lifestyle with slow but efficient
19 uptake already at low substrate concentrations. Thus, LD12 bacteria do not only share
20 phenotypic and metabolic traits with *Pelagibacter*, but also appear to thrive in the
21 analogous spatiotemporal niche in freshwaters. The two groups together form one of
22 the rare monophyletic lineages of ultramicrobacteria that have successfully traversed
23 the barrier between marine and freshwater habitats.

24

25

26 **Introduction**

27 The freshwater bacterioplankton is believed to be numerically dominated by small
28 *Actinobacteria*, *Betaproteobacteria* and microbes related to the *Flavo-* and
29 *Sphingobacteriales* (Glöckner *et al.*, 1999, Glöckner *et al.*, 2000, Zwart *et al.*, 2002).
30 By contrast, *Alphaproteobacteria* are regarded to be rare in the pelagic zones of most
31 lacustrine ecosystems (as opposed to marine pelagic habitats), with only very few
32 exceptions (Kirchman *et al.*, 2004, Nishimura & Nagata, 2007). This view is mainly
33 based upon the results of quantitative rRNA based methodology, e.g. of whole cell
34 fluorescence *in situ* hybridization techniques (for review see table VI in Klammer *et*
35 *al.*, 2002, and Appendix 3 in Nishimura & Nagata, 2007). However, the presumably
36 most abundant microbial species on earth, the marine bacterium *Pelagibacter ubique*
37 and relatives – also known as SAR11-cluster (*Alphaproteobacteria*) (Carlson *et al.*,
38 2008, Morris *et al.*, 2002, Rappe *et al.*, 2002) – has both a brackish and a freshwater
39 sister group (Bahr *et al.*, 1996, Carlson *et al.*, 2008). Members of the species-like
40 (>98% sequence similarity) freshwater cluster, termed LD12 (Zwart *et al.*, 1998),
41 alpha V (Glöckner *et al.*, 2000), or SAR11 IV (Carlson *et al.*, 2008), are only known
42 from environmental 16S rRNA gene sequences. A ubiquitous occurrence of these
43 bacteria in lakes (Bahr *et al.*, 1996, Zwart *et al.*, 2002) has been suggested, albeit
44 based on a limited number of complete sequences available in public databases. It is
45 unknown if members of the LD12 clade are only an exotic remnant lineage and form
46 small populations in lake water, or if they are as successful and ecologically relevant
47 in freshwater pelagic habitats as their marine counterparts.

48 The success of SAR11 bacteria in marine waters is attributed to a number of
49 features that render them well adapted to the nutrient and energy limited conditions in
50 offshore habitats such as the photic zone of the Sargasso Sea (Morris *et al.*, 2002).

51 Cultivated representatives (*Pelagibacter ubique*) are very small (ultramicrobacteria,
52 cell volume $< 0.01 \mu\text{m}^3$; (Rappe *et al.*, 2002)) with a streamlined genome dominated
53 by transport functions (Giovannoni *et al.*, 2005b, Sowell *et al.*, 2009) which are
54 driven by light dependent proton pumps (proteorhodopsins; (Giovannoni *et al.*,
55 2005a)). Moreover, they are centrally involved in the remineralization of low
56 molecular weight organic matter such as amino acids or glucose (Alonso &
57 Pernthaler, 2006, Malmstrom *et al.*, 2004), but require exogenous reduced sulfur
58 compounds for growth (Tripp *et al.*, 2008). Several ecotypes within the SAR11 group
59 have been identified and linked to either spatio-temporal habitat preferences (Carlson
60 *et al.*, 2008) or to ocean productivity (Schwalbach *et al.*, 2010).

61 We investigated the quantitative importance and potential role of bacteria of
62 the LD12 lineage in freshwater habitats by studying i) their occurrence and
63 quantitative importance in several freshwater lakes with different hydrochemical and
64 limnological properties, ii) their seasonal and vertical distribution patterns at high
65 spatio-temporal resolution, and iii) selected metabolic traits, i.e. the incorporation of
66 various low molecular weight organic compounds. Our results suggest that members
67 of the LD12 clade are one of the most abundant ubiquitous lineages of freshwater
68 bacteria whose quantitative importance so far has been largely overlooked. We
69 furthermore could gain first insight into the ecological niche of LD12 bacteria both by
70 identifying their spatiotemporal distribution maxima and by assessing their specific
71 substrate preferences.

72

73

74 **Materials and Methods**

75 *Sampling*

76 Lake Zurich is a large, deep, prealpine, mesotrophic lake, characterized by persistent
77 annual blooms of the toxic cyanobacterium *Planktothrix rubescens* (Bossard *et al.*,
78 2001, Van den Wyngaert *et al.*, 2011). Water samples of 53 depth profiles were
79 collected nearby the deepest point of the lake (136 m) in a biweekly interval between
80 January 17th 2008 and December 21st 2009. Core sampling depths were 0, 5, 10, 20,
81 30, 40, 60, 80, and 100 m, and additional samples were taken from seemingly
82 interesting depths (i.e. depths of chlorophyll *a* maxima or maxima of *P. rubescens*),
83 resulting in a total sample number of 490. Forty ml of water were fixed with
84 formaldehyde (2% final concentration) for flow cytometry, and 5-10 ml were fixed
85 with buffered paraformaldehyde (2% f.c.) for CARD-FISH analyses. Temperature
86 profiles were recorded with an YSI multiprobe.

87 Piburger See, a small oligo-mesotrophic lake with a maximum depth of 24.6 m
88 is situated in the Austrian Alps and was sampled in a monthly interval between
89 February 2005 and February 2006 (Salcher *et al.*, 2008). Water samples of 3, 9, 18,
90 and 24 m depth were fixed as mentioned above, and unfixed water for
91 autoradiographic assays (see below) was transported to the lab within 2 hours. Water
92 temperature was directly determined during sampling. Thalwiler Waldweiher is a
93 small, shallow (5.5 m maximum depth), eutrophic pond, which was sampled in a
94 monthly interval in a 1 m depth resolution during the ice free period between May
95 2008 and July 2009. Temperature profiles were recorded with an YSI multiprobe.
96 Lake Constance is a large, deep (250 m), oligotrophic lake connected to Gnadensee
97 (shallow, mesotrophic) and Zellersee (shallow, mesotrophic) (Güde *et al.*, 1998). Lake
98 Constance, Gnadensee, Zeller See, Badsee (small, shallow, eutrophic), and Federsee

99 (small, shallow, eutrophic) were sampled in July 2009. Samples from 0, 5, 10, 15, 20,
100 and 30 m depth were analyzed from Lake Constance, and surface water samples
101 (Table 1) were taken from the other lakes. Egelsee is a shallow, meromictic, meso-
102 eutrophic lake with a pronounced layer of green and purple sulfur bacteria
103 (*Chlorobium* sp., *Thiopedia* sp., and *Chromatium* sp.) between 6-7 m depth. A depth
104 profile of this lake was acquired in September 2007. Depth profiles of the large, deep,
105 and oligotrophic lakes Lago Maggiore (372 m depth) and Lake Thun (217 m depth)
106 were gained in July 2010 and Gossenköllesee (small, ultraoligotrophic, high mountain
107 lake (Pernthaler *et al.*, 1998)) was sampled in August 2005. Horgener Waldweiher
108 (small, shallow, eutrophic) was sampled in June 2009.

109

110 *Cloning, sequence analysis of 16S rRNA genes, and probe design*

111 Twohundredfifty ml of unfixed water samples from Lake Zurich from 10 m, 12.5 m,
112 and 15 m depth from October 1st 2007 were filtered onto white polycarbonate
113 membranes and stored frozen until further processing. DNA was extracted with the
114 powerbead soil isolation kit (MOBIO) and 16S rRNA genes were amplified with
115 primers GM3f and GM4r (Muyzer *et al.*, 1995). PCR products were purified with the
116 QIAquick PCR purification kit (QIAGEN), inserted into TOPO vectors (TOPO TA
117 cloning kit for sequencing; Invitrogen) and cloned into competent *E. coli* according to
118 the manufacturer's instructions. After screening of the clones for right-sized inserts,
119 plasmid preparations were done with the QIAprep Spin Miniprep Kit (QIAGEN). The
120 sequencing reactions were accomplished with the primers GM1f (Muyzer *et al.*, 1993)
121 the vector primers M13f and M13r (Messing *et al.*, 1983) and the ABI BigDye
122 chemistry on an ABI 3730 Genetic Analyzer (Applied Biosystems). Partial sequences
123 were assembled with the DNA baser software (HeracleSoftware) and checked for

124 chimeric origin using Mallard and Pintail (Ashelford *et al.*, 2005). These sequence
125 data have been submitted to the EMBL database under accession No. FN665702-
126 FN665785. Phylogenetic analyses were performed with the ARB software package
127 (Ludwig *et al.*, 2004) using the ARB reference database SILVA SSU100. All
128 sequences were automatically aligned by the SINA web aligner (www.arb-silva.de)
129 and alignments were subsequently manually optimized. Only sequences >1200
130 nucleotides were used for tree reconstruction, where first a maximum parsimony tree
131 was built. All good quality sequences affiliated with SAR11 (2543) and LD12 (330)
132 were selected for bootstrapped maximum likelihood analyses (100 repetitions) using
133 the RAxML web server (Stamatakis *et al.*, 2005). The obtained trees were manually
134 compared, reduced by 251 almost identical LD12 sequences (mostly from Lake Gatun
135 (Rusch *et al.*, 2007)), and nodes with bootstrap values <50% were collapsed into
136 multifurcations. Probe design for the LD12 cluster was done with the respective ARB
137 tools probe_design and probe_check and obtained probes were also checked for
138 specificity in the ribosomal database project (<http://rdp.cme.msu.edu>). The resulting
139 probe LD12-121 (5'-CAC AAG GCA GAT TCC CAC AT-3') was tested with
140 different formamide concentrations until stringency was achieved at 35%.

141

142 *Enumeration of microbes*

143 Total bacterial abundances were counted by an inFlux V-GS cell sorter (Becton
144 Dickinson) equipped with a UV laser (Lightwave Electronics, CY-PS, 60 mW,
145 wavelength of 355 nm), a blue laser (Coherent, Sapphire, 200 mW, wavelength of 488
146 nm), and detectors for 2 scatter and 6 fluorescence channels. All samples were stained
147 with DAPI (4',6-diamidino-2-phenylindole, 1 $\mu\text{g ml}^{-1}$ final concentration), and scatter
148 plots were analyzed with the software FlowJo 7.2.2. (Tree Star).

CARD-FISH (fluorescence *in situ* hybridization followed by catalyzed reporter deposition) was carried out as previously described (Sekar *et al.*, 2003) with slight modifications for probe LD12-121, such as longer signal amplification (45 min) and a higher concentration of Alexa488 labeled tyramides ($4 \mu\text{l ml}^{-1}$ amplification buffer). CARD-FISH stained filters were analyzed by fully automated high-throughput microscopy (Zeder & Pernthaler, 2009), where 3 images were recorded: UV excitation for DAPI stained cells, blue excitation for hybridized cells, and green excitation for autofluorescent cells, which otherwise interfere with hybridization signals. All images were analyzed with an image analysis macro (Zeder, unpublished), and interfering autofluorescent cyanobacteria or debris were individually subtracted from hybridized cells. Ten to 36 high quality images were analyzed for each sample. Replicated hybridization of 28 randomly chosen filters resulted in a mean standard deviation of 0.2% of DAPI (8.2% deviation).

Cell volume estimations of bacteria hybridized with probes LD12-121 and EUB I-III were done with the image analysis program LUCIA D (<http://www.lim.cz>) as described in Posch *et al.* (2009) for 1 sample of Lake Zurich (August 14th 2008, 0 m depth). 400-500 cells were measured and significant differences between length, width, and biovolume of bacteria hybridized with LD12 and EUB I-III were identified by paired t-tests computed in SPSS 16.0.

Microautoradiography coupled with CARD-FISH (MAR-FISH)

Autoradiography assays were done with the following radioactive substrates (all purchased from Amersham): [^3H]-Thymidine (77 Ci mmol^{-1} specific activity), [^3H]-Glucose (29 Ci mmol^{-1} s.a.), [^{14}C]-Fructose ($0.316 \text{ Ci mmol}^{-1}$ s.a.), [^{14}C]-Acetate (56 Ci mmol^{-1} s.a.), [^3H]-Amino Acids (45 Ci mmol^{-1} s.a.), [^3H]-Arginine (49 Ci mmol^{-1}

s.a.), [^3H]-Lysine (73 Ci mmol $^{-1}$ s.a.), [^3H]-Glutamine (53 Ci mmol $^{-1}$ s.a.), [^3H]-
Glutamate (45 Ci mmol $^{-1}$ s.a.), [^{14}C]-Alanine (0.154 Ci mmol $^{-1}$ s.a.), [^{14}C]-Aspartate
(0.207 Ci mmol $^{-1}$ s.a.), [^{14}C]-Serine (0.255 Ci mmol $^{-1}$ s.a.), [^{14}C]-Glycine (0.101 Ci
mmol $^{-1}$ s.a.), and [^3H]-Leucine (61 Ci mmol $^{-1}$ s.a.), All substrates were added at 10
nmol l $^{-1}$ final concentrations and incubated for 2 h at *in situ* temperature for Lake
Zurich samples from 5 m depth (September 16th, 2009), whereas monthly samples
from Piburger See were spiked with 5 nmol l $^{-1}$ [^3H]-Amino Acids (48 Ci mmol $^{-1}$ s.a.)
and incubated for 1 h at *in situ* temperature. Additionally, a ‘substrate affinity’
experiment was carried out with [^3H]-Glutamine (60 Ci mmol $^{-1}$ s.a.), for Lake Zurich
samples (5 m depth, September 28th, 2010). Four different concentrations (0.1 nmol l $^{-1}$
 1 , 1 nmol l $^{-1}$, 10 nmol l $^{-1}$, and 100 nmol l $^{-1}$, 120 min incubation each) and 5 different
incubation times (15 min, 30 min, 60 min, 120 min, and 240 min, 10 nmol l $^{-1}$
concentration each) were used to gain more information on the affinity of LD12 to the
selected tracer and on incorporation speed. Triplicates plus 2 prefixed controls were
processed as outlined in Salcher et al. (2008) with the modification that filter sections
were glued (1% agarose) onto cover slips with bacteria facing down, carefully peeled
off, and cover slips were mounted onto microscopic slides for autoradiography.
Therefore, the very small LD12 cells were not covered by silver grains but lying
above the photo emulsion. Cover slips were thereafter embedded in a mounting
medium containing DAPI and samples were manually evaluated with a Zeiss
Microscope with bright field illumination and blue excitation. At least 100 hybridized
cells were inspected per sample.

198 **Results and Discussion**

199 *Phylogenetic relationship of freshwater LD12 and marine SAR11 bacteria*

200 Bacterial 16S rRNA gene sequences affiliated with LD12 (n = 370) originated from
201 habitats distributed over several continents and from both hemispheres (Fig. 1),
202 supporting the notion of their ubiquitous distribution (Glöckner *et al.*, 2000, Zwart *et*
203 *al.*, 2002). Sequences from a single lake (Lake Gatun) in the GOS dataset (Rusch *et*
204 *al.*, 2007) contributed almost two thirds of all LD12 sequences (n = 202). Our own
205 collection of bacterial 16S rRNA gene sequences from the large mesotrophic Lake
206 Zurich, Switzerland, also yielded a surprisingly high proportion of LD12 related
207 genotypes (84 of 442 sequences). Although the majority of LD12 sequences have
208 been obtained from freshwater habitats, some have also been found in estuarine
209 habitats such as the Chesapeake Bay (n = 3) or the Delaware estuary (n = 20).

210 Phylogenetic analyses gave significant support for the separation of the marine
211 SAR11 and the freshwater LD12 group (90% bootstrap support, Figure 2). The mean
212 distance between the LD12 cluster and a cultivated SAR11 representative,
213 *Pelagibacter ubique* HTCC1062 (88.9%), indicates that the two lineages are different
214 genera. By contrast, the high sequence similarity within the LD12 cluster (mean
215 similarity: 99.5%) suggests that these bacteria might be classified as a single species.
216 Nevertheless, several distinct subclusters within the LD12 lineage were supported by
217 bootstrap values of >50% (Supplementary Figure S1). Most of these subclusters
218 contained sequences that have been obtained from a single habitat (i.e. Wang Yang
219 River, Lake Gatun, or Lake Zurich), and they typically featured rather low branch
220 lengths. However, a more deeply branching subclade of 10 sequences from Lake
221 Zurich (53% bootstrap support) even contained another well-separated subcluster
222 (63% bootstrap support). This microdiversification points to the existence of specific

ecotypes in different lakes and possibly even in a single lake. Distinct ecotypes of the marine SAR11 cluster have been reported to differ in their spatio-temporal distribution patterns in the Sargasso Sea (Carlson *et al.*, 2008).

Occurrence and habitat preference of LD12 bacteria

We identified and quantified LD12 bacteria in several freshwater habitats by CARD-FISH with a newly designed specific rRNA targeted oligonucleotide probe. The available probe that targets the majority of *Alphaproteobacteria* (ALF968, Amann & Fuchs, 2008) is not suitable for the detection of these microbes, as it features several mismatches with genotypes from the LD12 cluster. Therefore, LD12 bacteria have been overlooked in the analysis of freshwater microbial communities by FISH until now.

A high-resolution sampling campaign (n = 490 samples) over two consecutive years unveiled the spatio-temporal population dynamics of LD12 bacteria in Lake Zurich. These bacteria were always present in lake water, but they also exhibited pronounced depth distribution patterns and seasonal fluctuations (Figure 3). The highest numbers were found in the warm epilimnetic water strata during summer (up to 8.0×10^8 cells l⁻¹). Three distinct abundance maxima were discernable in both successive years: (i) shortly after water temperatures reached >16°C (May–June), (ii) during the warmest period at temperatures >22°C (August–September), and (iii) in autumn (November), immediately before or at the onset of the epilimnetic mixis. Only low proportions of LD12 bacteria were present during winter or in deep hypolimnetic water (<5% of all *Bacteria*). Thus, the seasonal population dynamics of freshwater LD12 bacteria are to some extent comparable with those of their marine sister group: Members of the SAR11 clade have been shown to peak during summer stratification

248 in the euphotic zones of various marine habitats such as the oligotrophic North Pacific
249 Ocean (Eiler *et al.*, 2009), the northwestern Sargasso Sea (Carlson *et al.*, 2008), and
250 the Mediterranean Sea (Alonso-Saez *et al.*, 2007).

251 SAR11 bacteria usually account for one quarter of marine bacterioplankton or
252 more, and their abundances range between $0.3\text{--}6.3 \times 10^8$ cells l^{-1} in the North Pacific
253 Ocean (Eiler *et al.*, 2009), $0.2\text{--}3.2 \times 10^8$ cells l^{-1} in the Sargasso Sea (Carlson *et al.*,
254 2008, Morris *et al.*, 2002), and $0.5\text{--}3 \times 10^8$ cells l^{-1} in the Mediterranean (Alonso-Saez
255 *et al.*, 2007). The abundances of LD12 bacteria in the epilimnion of Lake Zurich
256 during summer stratification ($2.1 \pm 1.2 \times 10^8$ cells l^{-1} , range $0.3\text{--}8.0 \times 10^8$ cells l^{-1} , $n =$
257 109) were comparable to those of their marine counterparts in the euphotic zone
258 (mean, 2×10^8 cells l^{-1} (Morris *et al.*, 2002)). LD12 cell densities in the lower strata
259 (hypolimnion) of the lake and during winter ($0.5 \pm 0.3 \times 10^8$ cells l^{-1} , range $0.05\text{--}2.0 \times$
260 10^8 cells l^{-1} , $n = 381$) exceeded typical values for SAR11 in the aphotic zone, e.g.,
261 those in the mesopelagic realms of the Sargasso Sea (0.2×10^8 cells l^{-1} (Carlson *et al.*,
262 2008, Morris *et al.*, 2002)).

263 Seasonal population dynamics of LD12 comparable to those in Lake Zurich
264 were also found in surface waters of 2 other systems with contrasting limnological
265 properties, an oligo-mesotrophic mountain lake (Piburger See, Austria) and a
266 eutrophic pond with only 40 days of water renewal time (Thalwiler Waldweiher,
267 Switzerland) (Figure 4A and 4B). Although the sampling resolution in these habitats
268 was lower (monthly), two distinct summer maxima were nevertheless discernable in
269 both cases. Depth profiles from these two lakes, from a large mesotrophic lake (Lake
270 Constance, Germany), and from a shallow, eutrophic lake (Egelsee, Switzerland)
271 furthermore supported the conclusion that LD12 bacteria may generally prefer the
272 upper epilimnetic water layers (Figure 4C–F). Genome analyses of *P. ubique* revealed

the presence of a light-driven proton pump (proteorhodopsin (Giovannoni *et al.*, 2005a)), and proteorhodopsin genes related to SAR11 have been obtained from several lakes (Atamna-Ismaeel *et al.*, 2008). This suggests that LD12 bacteria might possess such light harvesting pigments, and it could help to explain their high densities in the light penetrated uppermost layers (Supplementary Figures S2 and S3). Moreover, the close relationship between water temperature and the abundances of LD12 bacteria (Figure 5, Pearson's correlation coefficient = 0.81, $p > 0.001$, $n = 621$) also suggests that these microbes in some aspects share habitat preferences with their marine counterparts SAR11 (Alonso-Saez *et al.*, 2007, Carlson *et al.*, 2008, Morris *et al.*, 2002).

We also assessed the occurrence of LD12 bacteria in the epilimnion of 8 more lakes with different limnological characteristics, ranging from ultra-oligotrophic to eutrophic (Table 1). LD12 bacteria were also abundant in highly productive systems, which is in contrast with the adaptation to nutrient poor habitats of their marine counterparts. Interestingly, LD12 bacteria were extremely rare in samples from a cold, ultra-oligotrophic high mountain lake (Gossenköllesee, Austria) that is usually covered by ice for 7–8 months and features water temperatures around 10°C during summer (Pernthaler *et al.*, 1998).

Finally, LD12 and SAR11 bacteria also seem to share a conspicuously small cell size (mean LD12 biovolume, $0.017 \mu\text{m}^3$, Table 2) (Nicastro *et al.*, 2006, Rappe *et al.*, 2002). The miniature size of *Pelagibacter ubique* has mainly been attributed to an effective adaptation to nutrient limited environments, as their optimized surface-to-volume ratio points to advantages in nutrient and substrate uptake (Giovannoni *et al.*, 2005b). In view of the apparent success of LD12 bacteria in eutrophic ponds and lakes, other advantages of the ultramicrobacterial morphotype might also be of

298 relevance, e.g., the protection against size-selective protistan grazing (Pernthaler,
299 2005).

300 It should be noted that the biomass contribution of LD12 might not be as high
301 as its contribution to total cell numbers due to its small cell size. However, most
302 pelagic bacteria in freshwater systems are of very small cell size (i.e. *Actinobacteria*
303 (Jezbera *et al.*, 2009, Salcher *et al.*, 2010), *Polynucleobacter* sp. (Hahn *et al.*, 2009,
304 Hahn *et al.*, 2010, Wu & Hahn, 2006), or *Betaproteobacteria* affiliated with the LD28
305 cluster (M. Salcher, unpublished data)). The fraction of the typically small, so-called
306 low nucleic acid containing bacteria (LNA bacteria) was 77 ± 5 % in Lake Zurich (n =
307 490), 83 ± 8 % in Piburger See (n = 108), and 78 ± 10 % in Thalwiler Waldweiher (n
308 = 126) during the study period. More than 50 % of these LNA bacteria were
309 *Actinobacteria* and LD12 bacteria, while <5 % of cells from these two groups were
310 present in fractions of bacteria with high nucleic acid content (M. Salcher,
311 unpublished data).

312

313 *Physiological traits of LD12 bacteria*

314 Short-time incorporation assays with a tritiated mixture of amino acids over the
315 course of one year in an oligo-mesotrophic lake (Piburger See) revealed high uptake
316 of this important component of the labile dissolved organic matter pool by LD12
317 bacteria (Weiss & Simon, 1999) (Fig. 4A and C). This agrees with reports that their
318 marine relatives can account for 50% of total amino acid assimilation in the North
319 Atlantic (Malmstrom *et al.*, 2004) and around 25% in the Mediterranean, albeit with
320 high seasonal variations (Alonso-Saez & Gasol, 2007). In Piburger See, highest amino
321 acid uptake (up to 80% of all LD12 cells, or $>2 \times 10^8$ active cells l⁻¹) coincided with
322 the highest abundances of LD12 bacteria, i.e. was observed in the epilimnion during

the summer months. By contrast, only around 30% of LD12 microbes incorporated the radiolabelled amino acids during winter and in the deeper water layers (mean number of active cells in the hypolimnion: 0.09×10^8 cells l⁻¹). Thus, LD12 cells were most active when phosphorus concentrations were seasonally low (negative correlation between dissolved phosphorus and the proportion of active LD12 bacteria ($r = -0.645$, $p < 0.001$)). Phosphorus can be the growth-limiting factor of freshwater planktonic bacteria (Carlsson & Caron, 2001), and members of the LD12 clade appear to be particularly well-adapted to thrive in nutrient limited situations (Supplementary Figures S2 and S3). Genomic and metaproteomic evidence suggests that their marine sister group may indeed be specialized for phosphorus transport and uptake (Giovannoni *et al.*, 2005b, Sowell *et al.*, 2009). Marine SAR11 bacteria are also centrally involved in phosphate turnover in the sea, e.g., in the nutrient depleted North Atlantic subtropical gyre (Zubkov *et al.*, 2007). Besides phosphorus, also nitrogen is a limiting factor for bacterial growth, especially during summer at times of nitrate and ammonia depletion (Carlsson & Caron, 2001, Morris & Lewis, 1992). We found a significant negative relationship between LD12 cell densities and nitrate (Supplementary Figures S1 and S2) and ammonia concentrations ($r = 0.54$, $p < 0.001$).

Analyses of the genome of *P. ubiquus* has revealed that despite its extreme reduction it nevertheless features a surprisingly high number of genes encoding for transport functions (Giovannoni *et al.*, 2005b), in particular also transporters for nitrogenous compounds (e.g. ammonia or amino acids). Several genes encode for ABC transporters with very narrow solute uptake specificity (Hosie & Poole, 2001) like glutamine or glycine/proline transport, others for general L-amino acid transport with very broad solute range, and there are high-affinity transporters for branched

348 amino acids like leucine (Giovannoni *et al.*, 2005b). Proteomic analyses of *P. ubique*
349 and metaproteomic marine data also point to a dominance of transport functions in
350 SAR11 bacteria (Sowell *et al.*, 2008, Sowell *et al.*, 2009), with up to 67% of all
351 spectra matching transport proteins, especially for polar amino acids (Sowell *et al.*,
352 2009).

353 An ecophysiological assay with 14 different radiolabelled low-molecular-
354 weight substrates conducted in Lake Zurich in late summer 2009 (Fig. 6A and B)
355 revealed a pronounced potential preference of LD12 bacteria for glutamate and
356 glutamine (60% and 46% of LD12 cells, respectively). These amino acids were 3.7
357 and 2.3 times more frequently incorporated by LD12 cells than by the bacterial
358 community on average, with LD12 representing 48% and 30% of total glutamate or
359 glutamine assimilating bacterial cells, respectively. In addition, the proportion of
360 LD12 cells capable of arginine incorporation was also more than twice as high than of
361 other bacterial cells in general, with LD12 representing 30% of total arginine
362 incorporating bacterial cells. These three amino acids (together with proline) belong
363 to the glutamate family, and arginine can also be *de novo* synthesized from glutamate
364 (Philosof *et al.*, 2009). Amino acids of the glutamate family are important as the main
365 nitrogen carriers during the biosynthesis of other amino acids (Lengeler *et al.*, 1999).
366 Glutamate is the universal donor for α -amino groups in amino acids, which accounts
367 for about 80% of the cellular nitrogen, and glutamine transfers another 15% of
368 nitrogen. While marine SAR11 are poised to assimilate inorganic nitrogen (ammonia
369 (Sowell *et al.*, 2009)), it is conceivable that their freshwater relatives cover their
370 cellular nitrogen demand at least partially by a high assimilation of amino acids of the
371 glutamate family (Figure 6B).

372 In additional experiments conducted in autumn 2010, we added ^3H -glutamine
373 at 0.1 -100 nmol l^{-1} concentrations (2 h incubation) and incubated samples pulse-
374 labeled with 10 nmol l^{-1} ^3H -glutamine for periods of 15 – 240 min. This revealed a
375 typical adaptation of LD12 bacteria to an oligotrophic life style. High proportions of
376 actively incorporating cells were already detected at very low tracer concentrations
377 (Figure 6C): More LD12 bacteria incorporated glutamine at concentrations of only 1
378 nmol l^{-1} , than at concentrations of 100 nmol l^{-1} (67% vs. 61% MAR positive cells).
379 Moreover, while *Bacteria* in general (as hybridized with probe EUB I-III) showed
380 highest tracer uptake at the highest offered concentration (40% MAR positive cells),
381 LD12 bacteria had a distinct incorporation maximum at 10 nmol l^{-1} (76% MAR
382 positive cells), where they constituted 58% of all active *Bacteria*. A similar adaptation
383 to oligotrophic conditions was described for samples from the North Sea, where
384 SAR11 bacteria showed a distinct affinity for glucose at concentrations of 1 nmol l^{-1}
385 (Alonso & Pernthaler, 2006). On the other hand, LD12 bacteria showed slower uptake
386 ‘kinetics’ of radiolabeled glutamine (10 nmol l^{-1} , Figure 6D): *Bacteria* showed a
387 saturation of the numbers of MAR positive cells already after 1 h of incubation (36%
388 MAR positive cells). By contrast LD12 bacteria reached a comparable saturation
389 plateau only after 2 h of incubation (76% MAR positive cells). Thus we draw the
390 preliminary conclusion that LD12 seem to follow an oligotrophic lifestyle with slow
391 but efficient uptake already at low substrate concentrations.

392 Glycine was also preferably incorporated by LD12 (7.5 fold higher number of
393 MAR-positive cells than the bacterial average, 62% of the total bacterial glycine
394 assimilation, Figure 6A and B). This is in accordance with the observation that *P.*
395 *ubique* is auxotrophic for this amino acid (Tripp *et al.*, 2009). On the other hand, the
396 branched amino acid leucine, which is mostly applied for assessing activity and

397 biomass synthesis of aquatic bacteria (Kirchman *et al.*, 1985), was significantly
398 selected against by LD12 (12% MAR-positive LD12 cells vs. 41% MAR-positive
399 *Bacteria*, Figure 6A and B). By contrast, marine SAR11 bacteria readily incorporate
400 leucine at frequencies comparable to the community average (Alonso & Pernthaler,
401 2006, Malmstrom *et al.*, 2004) and the gene encoding its transporter has been
402 identified in their genome (Giovannoni *et al.*, 2005b).

403 Glucose was incorporated by only approximately one tenth of LD12 cells,
404 accounting for only 5% of the total number of bacteria with glucose uptake. This is
405 also in contrast to the high glucose uptake observed in their marine counterparts (i.e.
406 between 15–50% of total glucose uptake in the Delaware Estuary (Elifantz *et al.*,
407 2005), Sargasso Sea (Malmstrom *et al.*, 2005), North Sea (Alonso & Pernthaler,
408 2006), Mediterranean (Alonso-Saez & Gasol, 2007), and Arctic Ocean (Alonso-Saez
409 *et al.*, 2008)). However, *Pelagibacter* strains were found to differ in their ability to
410 utilize glucose: *P. ubique* isolated from the open ocean entirely lack glycolysis related
411 genes (Giovannoni *et al.*, 2005b), whereas alternative glucose oxidation pathways are
412 present in isolates from the Oregon Coast (Schwalbach *et al.*, 2010). Moreover, the
413 total variants in the Entner-Doudoroff operon were highly correlated with chlorophyll
414 *a* concentrations, suggesting diversification into glucose utilizing coastal ecotypes and
415 open ocean ecotypes incapable of glucose oxidation (Schwalbach *et al.*, 2010).
416 Similarly, variants with or without the ability for glucose assimilation might exist
417 within the freshwater LD12 lineage.

418 Altogether, ultramicrobacteria affiliated with LD12 seem to be one of the most
419 abundant single species of microbes in freshwater lakes with average to high primary
420 productivity (e.g. more than 30% of all *Bacteria* in Lake Zurich). LD12 bacteria
421 mainly thrive in the upper euphotic water layers during summer, and they play an

422 important role in microbial assimilation of particular low molecular weight organic
423 compounds. Generally, LD12 seem to follow an oligotrophic lifestyle with slow but
424 efficient uptake at low substrate concentrations. They thus share basic features such as
425 habitat preference and ecophysiological traits with their closely related marine sister
426 group SAR11 (Alonso-Saez *et al.*, 2007, Carlson *et al.*, 2008, Eiler *et al.*, 2009,
427 Morris *et al.*, 2002). The two groups together form one of the rare monophyletic
428 lineages of ultramicrobacteria that have successfully traversed the barrier between
429 marine and freshwater habitats (Logares *et al.*, 2010, Urbach *et al.*, 2001).
430

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442

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1 Titles and legends to figures

2

3 Figure 1: Ubiquitous distribution of LD12 bacteria detected either by rRNA gene
4 sequences (33 locations) or CARD-FISH (13 locations).

5 Figure 2: Unrooted maximum likelihood tree of 16S rDNA sequences affiliated with
6 SAR11 I-II, SAR11 III, and LD12. The scale bar at the bottom refers to 0.01 % of
7 sequence divergence.

8 Figure 3: Spatio-temporal distribution of LD12 bacteria in Lake Zurich, Switzerland.
9 The red lines indicate temperature isopleths and open circles refer to sampling
10 points. Mean proportions and standard deviations of LD12 from 0-10 m depths
11 are shown on top and mean seasonal values for all depths on the right (in % of
12 DAPI-stained particles).

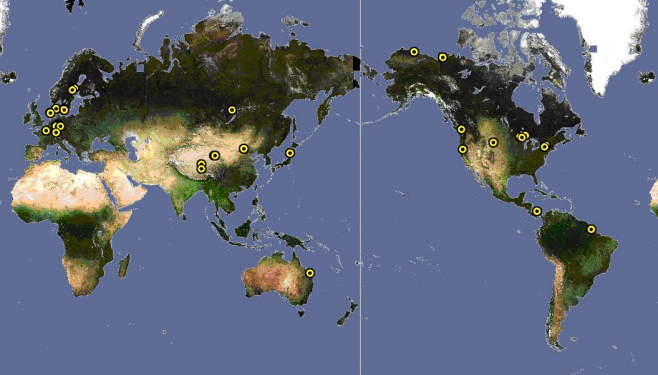
13 Figure 4: Seasonal and depth distribution of LD12 abundances (white bars) in relation
14 to water temperature (grey area) in temperate lakes. A: Seasonal development of
15 LD12 bacteria in Piburger See, Austria, in 3 m depth and corresponding
16 abundances of LD12 bacteria with active amino acid uptake (grey bars). B: Mean
17 values and standard deviations (n = 3) in Thalwiler Waldweiher, Switzerland, in
18 0-2 m depth. C: Depth distribution in Piburger See in July 2005 and
19 corresponding abundances of LD12 bacteria with active amino acid uptake, D:
20 depth distribution in Thalwiler Waldweiher in June 2008, E: in Lake Constance,
21 Germany, in July 2009, and F: in Egelsee, Switzerland, in September 2007. n.d.,
22 amino acid uptake assay not conducted.

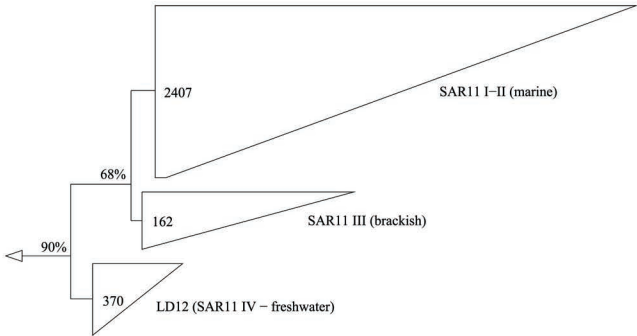
23 Figure 5: Relationship between LD12 abundance and water temperature in Lake
24 Zurich, Switzerland (blue circles, n = 490), Piburger See, Austria (red triangles, n

25 = 44), Thalwiler Waldweiher, Austria (green circles, n = 64), and 6 other lakes
26 (orange diamonds, n = 19).

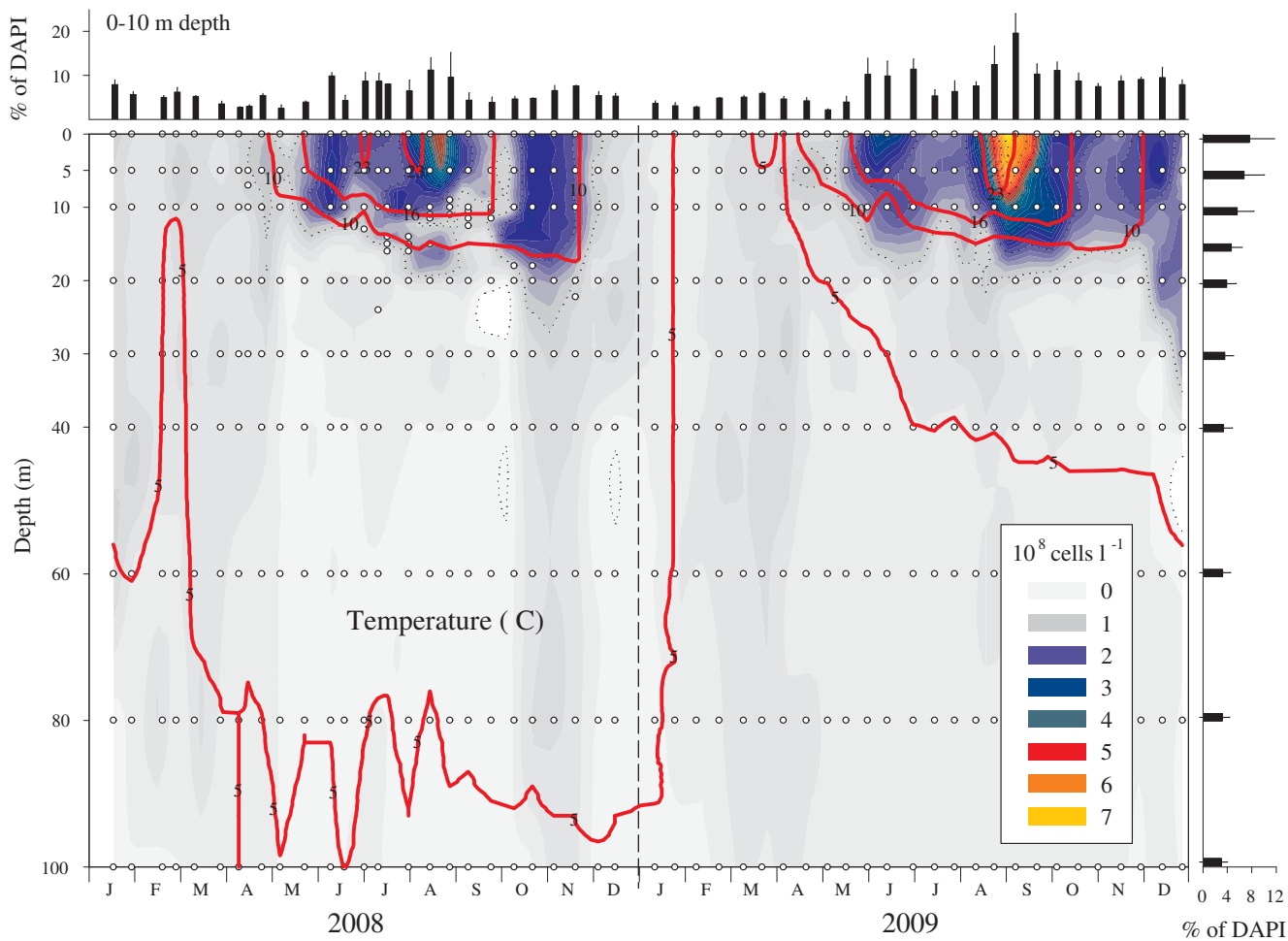
27 Figure 6, A and B: Ecophysiological MAR-FISH assay with 14 radiolabeled
28 substrates. Means and standard errors (n = 3) of the percentage of all *Bacteria* (A,
29 probe EUB I-III) and LD12 bacteria (B) with active tracer incorporation are
30 shown. AA-Mix; amino acid mixture. C: concentration and D: incubation time
31 dependent incorporation of radiolabelled glutamine of all *Bacteria* and LD12
32 bacteria. Significant differences between all *Bacteria* and LD12 bacteria are
33 indicated by underlined x-axis labels. Identical letters in uppercase (EUB) or
34 lowercase (LD12 bacteria) indicate treatments that were statistically
35 indistinguishable.

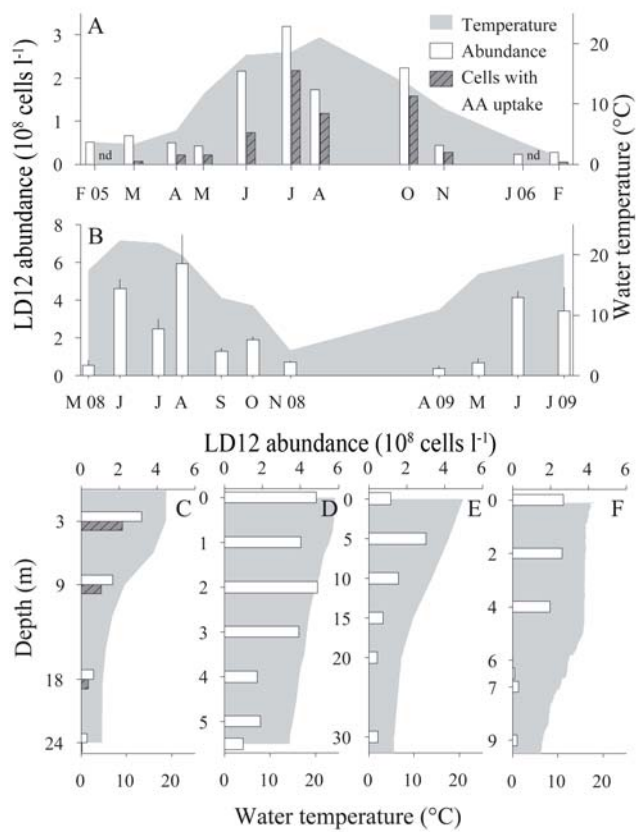
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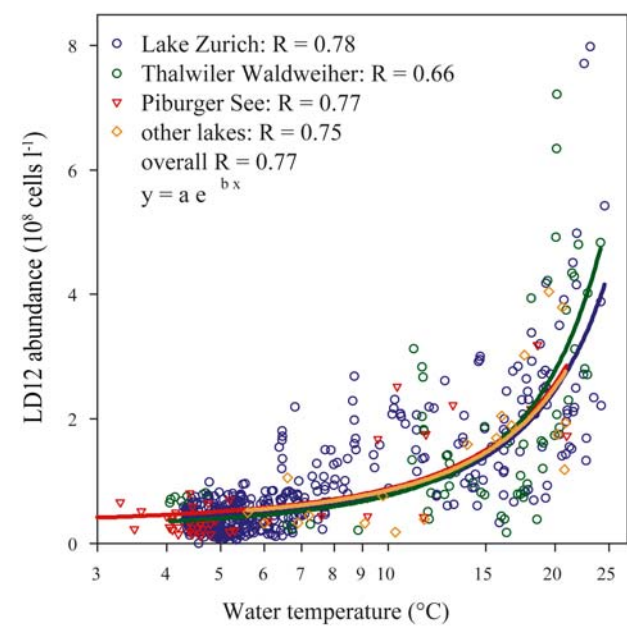




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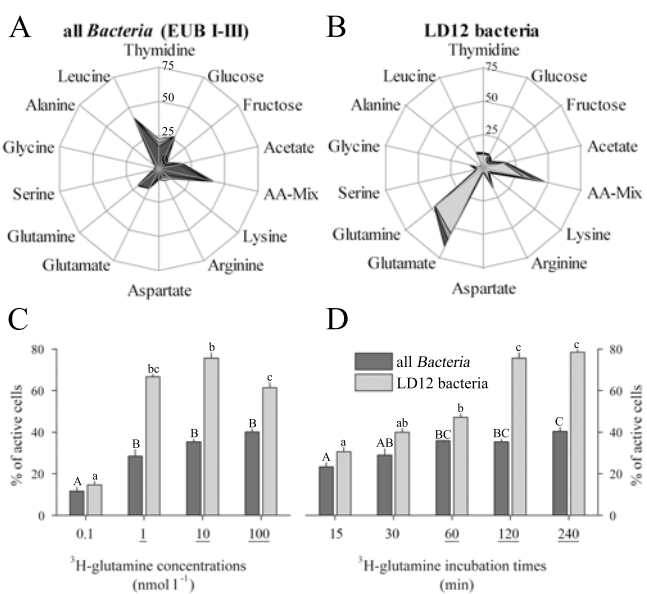


Table 1: Relative LD12 abundance (% of all *Bacteria*) in different European lakes determined by CARD-FISH with probes LD12-121 and EUB I-III.

Lake	Coordinates	Trophic status	Sampling depths (max depth)	Sampling (n)	Mean % of <i>Bacteria</i>	Range
Lake Zurich	47.31 N, 8.58 E	M	0-100 (136)	2 yr (490)	9.2	1.9 – 35.6
Thalwiler Waldweiher	47.28 N, 8.56 E	E	0-5.5 (5.5)	2 yr (64)	14.3	1.9 – 42.9
Piburger See	47.20 N, 10.89 E	O-M	3-24 (24.6)	1 yr (44)	4.7	0.4 – 21.5
Lake Constance	47.65 N, 9.29 E	M	0-30 (252)	Summer (6)	12.8	7.5 – 17.9
Egelsee	47.40 N, 8.36 E	M-E	0-9 (9)	Autumn (6)	6.0	0.4 – 12.7
Lago Maggiore	45.96 N, 8.63 E	O-M	0-350 (372)	Summer (14)	9.1	1.9 – 20.3
Lake Thun	46.69 N, 7.72 E	O	0-213 (217)	Summer (12)	9.7	3.6 – 13.6
Gossenköllesee	47.23 N, 11.01 E	UO	0-8 (8)	Summer (5)	0.8	0.7 – 1.0
Gnadensee	47.70 N, 9.09 E	M	5	Summer (1)	18.2	-
Zeller See	47.72 N, 8.97 E	M	5	Summer (1)	19.4	-
Badsee	47.75 N, 10.0 E	E	1	Summer (1)	21.7	-
Federsee	48.08 N, 9.63 E	E	1	Summer (1)	6.3	-
Horgener Weiher	47.24 N, 8.60 E	E	0.5	Summer (1)	17.8	-

UO = ultraoligotrophic, O = oligotrophic, O-M = oligo-mesotrophic, M = mesotrophic,

M-E = meso-eutrophic, E = eutrophic.

Table 2: Size of bacteria affiliated with *Bacteria* (probe EUB I-III), LD12 (probe LD12-121), SAR11, and *Pelagibacter ubiquus*. Mean values (and ranges) of 400-500 measured cells are given, and significant differences between bacteria hybridized with probes LD12 and EUB are highlighted in bold (paired t-test, df = 461, p > 0.001).

	Length (μm)	Width (μm)	Biovolume (μm ³)	Reference
EUB I-III	0.55 (0.21–4.21)	0.28 (0.13–0.80)	0.038 (0.002–0.569)	This study
LD12-121	0.38 (0.24–0.69)	0.27 (0.19–0.38)	0.017 (0.005–0.041)	This study
Marine SAR11	-	-	0.045 (0.033–0.060)	(Malmstrom <i>et al.</i> , 2005)
<i>Pelagibacter ubiquus</i>	0.4 (0.37–0.89)	0.2 (0.12–0.20)	0.01	(Rappe <i>et al.</i> , 2002)
<i>Pelagibacter ubiquus</i>	-	-	0.025–0.045	(Nicastro <i>et al.</i> , 2006)